



Working on fair and transparent assessment

The Warwick Principles

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A Little Background Context

- “The Metric Tide” (2015)*
- University of Warwick Review of the Academic Promotions Process (2018)
- Research Evaluation Framework (2020/21)
- **Warwick Principles for the Evaluation of Research (2020)**
- Wellcome Trust Open Access Policy (2021)
- UK Research and Innovation (UKRI) Open Access Policy (April 2022)

*Wilsdon, J., et al. (2015). [The Metric Tide: Report of the Independent Review of the Role of Metrics in Research Assessment and Management.](#)

Formulating the Principles

- What were we trying to achieve?
- What was already out there?
 - San Francisco Declaration on Research Assessment (DORA)
 - Leiden Manifesto for Research Metrics
 - Other UK Institutions
- Views from our Academic Community



Why the Leiden Manifesto worked for Us

- 'Not all disciplines are equal'
- Need for culture change
- What's coming next?
 - Issue of Interdisciplinarity
 - Non-standard outputs



The Warwick Principles for the Evaluation of Research

- 10 principles, following the Leiden Model
 1. Quantitative evaluation, where appropriate, should support qualitative, expert assessment
 2. Measure performance against the research missions of the institution, group or researcher
 3. Keep data collection and analytical processes open, transparent and simple
 4. Allow those evaluated to verify data and analysis
 5. Account for variation by field in publication and citation practices
 - ...

The Warwick Principles for the Evaluation of Research

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- 10 principles, following the Leiden Model
 6. Protect excellence in different cultures of scholarship
 7. Base assessment of individual researchers on a qualitative judgement of their portfolio
 8. Avoid misplaced concreteness and false precision
 9. Recognise the systematic effects of assessment and indicators
 10. Scrutinise indicators regularly and update them

Wider work on research evaluation

- Guild of European Research Intensive Universities
 - Working Group on Research Careers and Assessment
- Discussions on metrics for interdisciplinary outputs
- University of Warwick Press and WRAP
 - Experiments with altmetrics
 - The impact of ‘attention metrics’
- Researcher Training – ‘Telling stories about your research’



- Implementation and policy review
- REF 2021 Results and REF 202X consultation
- International Context
- Review of the Principles
- 'Living the practice'



Thank you for listening...

... any questions?

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GRACIAS
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